

02/12/2020

Audit report review

Complete Approval

BIOBAULA GmbH

Auditor: **ROESSLE Martin**

Follow-up by: **LUDWIG Cornelia**

SCOPE OF THE AUDIT CARRIED OUT THE 19/11/2020

The scope of this audit is limited to the program(s) **Detergents**

DESCRIPTION OF THE AUDITED ENTITY

Company: **BIOBAULA GmbH**

Site address:

Bruckmühlerstr. 23
83052 Bruckmühl-Heufeld
GERMANY

Person(s) in charge of the certification process: **Markus Winkler, Marina Höller**

Limit date for the next complete audit: 30/06/2021

NONCONFORMITIES IDENTIFIED DURING THE ENTITY'S AUDIT:

RESOLVED NONCONFORMITIES OVERVIEW

<p>Nonconformities found: 4.2.2</p>	<p>will be checked during next audit</p>
<p>No guarantee for the nature of product sub-contracted and/or of the service performed on invoices and/or delivery notes and/or labels</p> <p>Description</p> <p>No guarantee for the nature of the product sub-contractor Medasclean on delivery note and invoice of semi-finished product "Biobaula Öko WaschmittelTabs" (delivery date: 06/10/2020; invoicing date: 20.10.2020) DN No. #A200187 and invoice No. #F200236</p> <p>as could be seen on the attached documents</p> <p>ref: Standard: Point VI.B</p> <p>Corrective action proposed</p> <p>The supplier uses new forms for shipment. As could be seen on former documentation it was correct. The operator has informed the supplier to correct the forms.</p>	
<p>Decision:</p> <p>Decision of certification pending the receipt of compliant documents</p>	
<p>Corrective action carried out</p> <p>CLG; 02.12.2020: Corrected invoices and delivery notes could be provided.</p>	

CONCLUSIONS AND CERTIFICATION DECISION(S)

1 nonconformitie(s) has/have been identified during your audit. 1 nonconformitie(s) has/have been resolved.
0 nonconformitie(s) remain to be resolved.

After reviewing your file, the following decision(s) has/have been made:

The certification is issued/renewed.

REMARKS

Warm welcome.
Motivated and engaged team.

NB1: According to our procedures, you can appeal these decisions within 15 days from the receipt of this letter. This appeal doesn't suspend these decisions.

NB2: Some nonconformities, reviewed by the certification managers, may be presented to the members of the Advisory Committee.

For your information:

Depending on the nonconformities observed in the audit, your certifier officer will rely on the corrective action plan associated to the standard to deal with each nonconformity.

All the possible areas of non-compliance will be listed in the corrective action plan and evaluated according to their degree of seriousness (nonconformity "minor" or "major").

« MINOR » NON-CONFORMITIES

A minor nonconformity is a nonconformity which does not alter the characteristics of the product to be certified. It means that it does not alter the conformity of a product towards the principles of the standard and its most important requirements (see Preface of the standard) and is not misleading for consumers.

« MAJOR » NON-CONFORMITIES

A major nonconformity is a nonconformity which alters or may later alter the characteristics of the product to be certified. It means that it alters the conformity of a product towards the principles of the standard and its most important requirements and/or can be considered as misleading for consumers.

CORRECTION PLAN

If no nonconformity is identified during your audit, the certification decision is issued / granted and your certification officer will issue / renew your new certification document.

If a nonconformity arises as a result of the audit, Ecocert Greenlife can take the following appropriate measures:

Continuation of certification under conditions

Conditions to continue certification may be for instance:

- Increased surveillance through new audit or additional analysis
- A delay to allow you to implement corrective actions
- Etc.

If required conditions are not fulfilled in the given time, Ecocert will start the process of suspension or withdrawal of certification and update the certification documents accordingly.

Suspension certification or certification on hold

This involves the interruption of certification for a specific period or until compliance of the product. If the product is not certified yet, your certificate will be on hold. Suspension may involve one or more products and/or batch. To clear such non-conformity you must provide the necessary elements within the time granted.

In all cases, reference to the certification can no longer be made for the product(s) concerned by the suspension until the non-conformity is solved. The concerned product(s) will be removed of your certification document during the suspension period.

Reduction of the certification scope

This implies the immediate and final cancellation of the certification for part of the products and/or batch. The products are downgraded in the conventional circuit and can no longer make reference to the certification. This decision may be due to non-conformity noticed during on-site audit or on your request if you do not wish to use the certification for one or more of your products (cancellation).

In all cases products are removed from the certificate without notice.

Withdrawal of certification

This implies the immediate cancellation of the certification for all your products. You can no longer make reference to the certification for any of your products.

This decision is also accompanied by the termination of the contract with Ecocert Greenlife.

A product without certificate or whose certificate has been suspended/withdrawn cannot display any reference to the certification. This ban also applies to any other communication materials.

The suspension or withdrawal of your conformity documents implies the immediate end of validity of these documents. It is your responsibility to inform your clients that your products are not certified anymore and to stop using your certification documents.

Note : If the client is a non-committed subcontractor or an handler, the terms « certificate » and « product » shall be understood to mean « attestation » and « process ».